

17224 U.S. P.O. 111403

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

Thereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 14, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EV 332826175 US, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: NSC1P236C1/P05221

First Named Inventor: Mostafazadeh et al.

031088 U.S. PTO  
10/714028  
111403

  
Ryan Eachus

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation, Divisional or Continuation-in-part application)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

**SHAHRAM MOSTAFAZADEH and JOSEPH O. SMITH**

For: **BUMPED INTEGRATED CIRCUITS FOR OPTICAL APPLICATIONS**

Assigned to : National Semiconductor Corporation

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part

of prior Application No.: 10/153,269, filed May 21, 2002, from which priority under 35 U.S.C. §120 is claimed.

The specification has been amended to claim priority from the parent application, or such amendment is included in a separate sheet.

**Application Elements:**

- ☒ **11** Pages of Specification, Claims and Abstract  
☒ **3** Sheets of formal Drawings  
☒ Declaration  
☐ Newly executed  
☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.  
☒ Assignment and Assignment Recordation Cover Sheet (copy from parent application)  
☐ Power of Attorney  
☐ 37 CFR 3.73(b) Statement by Assignee  
☒ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations  
☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)  
☒ Return Receipt Postcard  
☐ Other:

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	6	MINUS	20	= 0	x 18 =	0
INDEP.	2	MINUS	3	= 0	x 86 =	0
[ ] First presentation of multiple dependent claim					\$290 =	0
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$770 =	770.00
TOTAL						770.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ Check No. 8235 in the amount of \$770.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NSC1P236C1).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NSC1P236C1).

☒ Please send correspondence to the following address:

**Customer Number 022434**

Date: November 14, 2003



Desmund Gean

Registration No. 52,937

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Shahram Mostafazadeh and  
Joseph O. Smith

Attorney Docket No.:  
NSC1P236C1/P05221

Application No.: Not yet assigned

Examiner: Not yet assigned

Filed: Herewith

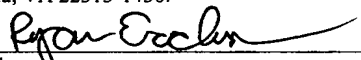
Group: Not yet assigned

Title: BUMPED INTEGRATED CIRCUITS  
FOR OPTICAL APPLICATIONS

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Ryan Eachus


### NONPUBLICATION REQUEST AND CERTIFICATION (35 U.S.C. 122(b)(2)(B)(i))

Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: Nov. 14, 2003

  
\_\_\_\_\_  
Desmund Gean  
Registration No. 52,937

#### NOTE:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).